Entropy dissiation for some Lindblad equations descibing many-body kinetic systems

Eric Carlen

Rutgers University

Joint work with Michael Loss

June 13, 2022

Quantum Markov Semigroups

Let \mathcal{H} be a finite dimensional Hilbert space. Make $\mathcal{B}(\mathcal{H})$ a Hilbert space by equipping it with the Hilbert-Schmidt inner product

$$\langle A, B \rangle = \text{Tr}[A^*B].$$

A linear transformation $\Phi: \mathcal{B}(\mathcal{H}) \to \mathcal{B}(\mathcal{H})$ has an adjoint with respect to this inner product that we denote by Φ^{\dagger} . A linear transformation $\Phi: \mathcal{B}(\mathcal{H}) \to \mathcal{B}(\mathcal{H})$ is unital in case $\Phi(I) = I$ and it is trace preserving (TP) in case for all $A \in \mathcal{B}(\mathcal{H})$, $\text{Tr}[\Phi(A)] = \text{Tr}[A]$. Φ is unital if and only if Φ^{\dagger} is trace preserving.

For A, B, C, D in $\mathcal{B}(\mathcal{H})$, consider the block operator

$$\begin{bmatrix} A & B \\ C & D \end{bmatrix} = A \otimes E_{1,1} + B \otimes E_{1,2} + C \otimes E_{2,1} + D \otimes E_{2,2}$$

which we may think of as an operator on $\mathcal{H} \oplus \mathcal{H}$, or equivalently $\mathcal{H} \otimes \mathbb{C}^2$. Then Φ is 2-positive in case

$$\left[\begin{array}{cc} A & B \\ C & D \end{array}\right] \geq 0 \Rightarrow \left[\begin{array}{cc} \Phi(A) & \Phi(B) \\ \Phi(C) & \Phi(D) \end{array}\right] \geq 0 \ ;$$

i.e., in case $\Phi \otimes I_{M_2(\mathbb{C})}$ is positivity preserving. The definition of n-positivity is made in the obvious way, and then Φ is *completely positive* (CP) in case Φ is n-positive for all $n \in \mathbb{N}$.

Eric Carlen (Rutgers)

We will be particularly concerned with completely positive maps Φ that satisfy $\Phi^{\dagger}=\Phi$ that are unital and hence trace preserving. CPTP maps have a particularly simple *Stinespring factorization*:

Define $\Psi_m: \mathcal{B}(\mathcal{H}) o \mathcal{B}(\mathcal{H}) \otimes M_m(\mathbb{C})$ by

$$\Psi_m(A) = \left[egin{array}{ccc} A & & & & & \ & 0 & & & & \ & & \ddots & & & \ & & & \ddots & & \ & & & 0 \end{array}
ight] = A \otimes I_{M_m(\mathbb{C})} \; .$$

Then for some unitary U on $\mathcal{H} \otimes M_m(\mathbb{C})$, and some m,

$$\Phi(A) = \operatorname{Tr}_{2}(U^{*}\Psi_{m}(A)U)
= \sum_{j=1}^{m} U_{1,j}^{*}AU_{1,j} = \sum_{j=1}^{m} V_{j}^{*}AV_{j} .$$

A Quantum Markov Semigroup (QMS) on $\mathcal{B}(\mathcal{H})$ is a semigroup $\{P_t\}_{t\geq 0}$ on $\mathcal{B}(\mathcal{H})$ such that each P_t is unital and CP.

Let Φ be any CP map on $\mathcal{B}(\mathcal{H})$. Then $\{e^{t\Phi}\}_{t\geq o}$ is a CP semigroup. For any $G\in\mathcal{B}(\mathcal{H})$, $A\mapsto e^{tG^*}Ae^{tG}$ gives another example. Now define

$$L(A) := \Phi(A) - (G^*A + AG).$$

By the Trotter product formula, $\{e^{tL}\}_{t\geq 0}$ is a CP semigroup. It is unital if and only if L(I)=0, and hence

$$\Phi(I) = G^* + G$$
 so that $G = \frac{1}{2}\Phi(I) + iH$.

Hence

$$L(A) = \phi(A) - \frac{1}{2}(\Phi(I)A + A\Phi(I)) + i[H, A] .$$

5/36

Lindblad's Theorem says that every QMS is if the form $\{e^{tL}\}_{t>0}$ where for some CP map Φ and some self-adjoint $H \in \mathcal{B}(\mathcal{H})$,

$$L(A) = \Phi(A) - \frac{1}{2}(\Phi(I)A + A\Phi(I)) + i[H, A] .$$

With $\Phi(A) = \sum_{i=1}^{m} V_i^* A V_i$,

$$L(X) = \sum_{j=1}^{m} \left(V_j^* X V_j - \frac{1}{2} V_j^* V_j X - \frac{1}{2} X V_j^* V_j \right) + i[H, X]$$

If $L=L^{\dagger}$ and H=0.

$$L = -\frac{1}{2} \sum_{i=1}^{n} [V_j, [V_j^*, X]] = -\frac{1}{2} \sum_{i=1}^{n} [V_j^*, [V_j, X]].$$

Then $\frac{\partial}{\partial t} \rho(t) = L \rho(t)$ is a sort of "quantum heat equation".

6/36

Relative entropy

Given two density matrices $\rho, \sigma \in \mathcal{B}(\mathcal{H})$, the relative entropy of ρ with respect to σ , $D(\rho||\sigma)$, is defined by

$$D(\rho||\sigma) := \text{Tr}[\rho(\log \rho - \log \sigma)]$$

Now suppose that $\{P_t\}_{t\geq 0}$ is a QMS and for each t, $P_t=P_t^{\dagger}$ so that P_t takes density matrices to density matrices. Suppose also that $P_t\sigma=\sigma$. By the Data Processing Inequality (DPI),

$$D(P_t\rho||\sigma) = D(P_t\rho||P_t\sigma) \le D(\rho||\sigma)$$
.

The relative entropy dissipation under P_t is

$$I(\rho||\sigma) := -\frac{\mathrm{d}}{\mathrm{d}t} D(P_t^{\dagger} \rho||\sigma) \bigg|_{t=0} \geq 0 \; .$$

←□ → ←□ → ← □ → ← □ → ← ○

Entropy-Entropy Dissipation

A generalized logarithmic Sobolev inequality) (GLSI) is an inequality of the form

$$I(\rho||\sigma) \ge cD(\rho||\sigma)$$

for c > 0. Such an inequality yields

$$D(P_t\rho||P_t\sigma) \leq e^{-ct}D(\rho||\sigma)$$
.

Suppose such an inequality holds with $\sigma=\frac{1}{\dim(\mathcal{H})}I$. Let h be self adjoint with $\mathrm{Tr}[h]=0$. Then for all ϵ small enough, $\rho_{\epsilon}:=\sigma+\epsilon h$ is a density matrix, and so for all such ϵ ,

$$I(\rho_{\epsilon}||\sigma) \geq cD(\rho_{\epsilon}||\sigma)$$
.

Expanding to second order in ϵ yields the spectral gap inequality (SGI)

$$-\langle h,Lh\rangle \geq \frac{c}{2}\langle h,h\rangle \quad {\rm for} \quad \langle h,I\rangle = 0 \ .$$

◆□▶◆□▶◆臺▶◆臺▶ 臺 釣۹ペ

As we have seen, a spectral gap inequality is weaker than a generalized logarithmic Sobolev inequality. There is also a stronger inequality, namely a *logarithmic Sobolev inequality*.

Theorem

Let L be a quantum Markov semigroup generator with $L=L^{\dagger}$, and let σ satisfy $L\sigma=0$. Then for any density matrix ρ .

$$I(
ho||\sigma) \geq 4\sum_{j=1}^n \operatorname{Tr}|[V_j,\sqrt{
ho}]|^2$$
.

A *logarithmic Sobolev inequality* (LSI) for the QMS generators considered here is an inequality of the form

$$\sum_{j=1}^n \operatorname{Tr} |[V_j, \sqrt{\rho}]|^2 \ge \frac{c}{4} D(\rho||\sigma) .$$

By the theorem, this implies

$$I(\rho||\sigma) \geq cD(\rho||\sigma)$$
,

which in turn implies

$$-\langle h, Lh \rangle \geq \frac{c}{2} \langle h, h \rangle$$
.

It is known that

$$D(\rho||\sigma) \ge \frac{1}{2} \|\rho - \sigma\|_1 = \frac{1}{2} \operatorname{Tr}[|\rho - \sigma|].$$

An easy computation yields (since $L = L^{\dagger}$)

$$I(\rho||\sigma) = -\operatorname{Tr}[(L\rho)(\log \rho - \log \sigma)]$$
.

Using the integral representation

$$\log X = \int_0^\infty \left(\frac{1}{\lambda + 1} - \frac{1}{\lambda + X} \right) \mathrm{d}\lambda \ ,$$

one deduces

$$I(\rho||\sigma) = \frac{1}{2} \sum_{j} \int_{0}^{\infty} \operatorname{Tr}\left[[V_{j}, \rho]^{*} \frac{1}{\lambda + \rho} [V_{j}, \rho] \frac{1}{\lambda + \rho} \right] \mathrm{d}\lambda \ .$$

11 / 36

Eric Carlen (Rutgers) Entropy Dissipation June 13, 2022

The functional

$$(K,X) \mapsto \int_0^\infty \operatorname{Tr}\left[K^* \frac{1}{\lambda + X} K \frac{1}{\lambda + X}\right] d\lambda$$

is jointly convex on $M_n(\mathbb{C}) \times M_n^{++}(\mathbb{C})$ by a Theorem of Lieb from 1973. Just as the joint convexity of the relative entropy leads to the DPI, this functional is also monotone decreasing under

$$(K,X)\mapsto (\Phi(K),\Phi(X))$$

for any CPTP map, a result due to Petz in 1996.

This is fundamentally important in a geometric approach to proving GLSI that has been developed by myself and Jan Maas, and other researchers as well – it is a very active field. But it is unknown how to check the geometric conditions in the cases we discuss here. Instead, we shall directly prove an LSI.

The N-particle binary collision model

Consider a d-dimensional Hilbert space $\mathcal H$ and a single particle Hamiltonian h with d distinct eigenvalues e_0,\ldots,e_{d-1} . Let $\{\psi_0,\ldots,\psi_{d-1}\}$ be an orthonormal basis for $\mathcal H$ with $h\psi_j=e_j\psi_j$ for all $0\leq j\leq d-1$. The corresponding N-particle Hamiltonian on $\mathcal H_N=\otimes^N\mathcal H$ is given by

$$H_N = \sum_{j=1}^N I \otimes \cdots \otimes h \otimes \cdots \otimes I$$

where h is in the jth position. The eigenvalues of H_N are indexed by the multindices $\alpha \in \{0, \dots, d-1\}^N$, and are given by

$$e(\alpha) = e_{\alpha_1} + \cdots e_{\alpha_N}$$
 where $\alpha_j \in \{0, \dots, d-1\}$, $j = 1, \dots, N$.

Defining

$$\Psi_{\alpha} := \psi_{\alpha_1} \otimes \cdots \otimes \psi_{\alpha_N} ,$$

 $\{\Psi_{\alpha}: \alpha \in \{0, \dots d-1\}^{N}\}$ is an orthonormal basis of \mathcal{H}_{N} consisting of eigenvectors of \mathcal{H}_{N} . For a multiindex α , and $k \in \{0, \dots, d-1\}$ define

$$k_m(\alpha) = \#\{1 \le j \le N \mid \alpha_j = m \}$$

where for a set A, #A denotes the cardinality of A. Thus, a second expression for $e(\alpha)$ is

$$e(\alpha) = \sum_{m=0}^{d-1} k_m(\alpha) e_k .$$

14/36

We consider energy conserving binary collisions: If before a collision the state of the system is $|\Psi_{\gamma}\rangle\langle\Psi_{\gamma}|$, then after the collision it given by a density matrix ρ all of whose eigenstates are linear combinations of vectors the form Ψ_{δ} where for some i < j, $\delta_{\ell} = \gamma_{\ell}$ for $\ell \notin \{i,j\}$, and

$$e_{\gamma_i} + e_{\gamma_i} = e_{\delta_i} + e_{\delta_i}$$

Suppose that the spectrum of h is such that the spectrum of H_2 on $\mathcal{H} \otimes_{\mathrm{sym}} \mathcal{H}$ is non-degenerate. Then for any $0 \leq m_1, m_2, m_3, m_4 \leq d-1$,

$$e_{m_1} + e_{m_2} = e_{m_3} + e_{m_4} \iff \{m_1, m_2\} = \{m_3, m_4\} \ .$$

Suppose further that the pair of equations

$$\sum_{m=1}^{d-1} k_m e_n = E \quad \text{and} \quad \sum_{m=1}^{d-1} k_m = N$$

has exactly one solution for each E in the spectrum of \mathcal{H}_N . Then γ and δ can only differ differ by a pair transposition. (This is generic.)

The collision rules induce a graph structure on $\mathcal{V}_N := \{0, \dots d-1\}^N$: We say that $\gamma, \delta \in \mathcal{V}_N$ are *adjacent* if they differ by a pair transposition $\pi_{i,j}$ for some i < j. If γ is adjacent δ , we write $[\gamma, \delta]$ to denote the corresponding edge in \mathcal{E}_N . Note that $[\gamma, \delta] = [\delta, \gamma]$. We denote this graph by \mathcal{G}_N .

The connected components of \mathcal{G}_N are indexed by the $\mathbf{k}=(k_0,\ldots,k_{d-1})$ such that $\sum_{m=1}^{d-1}k_m=N$, and the corresponding vertex set, $\mathcal{V}_{N,\mathbf{k}}$ consists of all α such that $k_j(\alpha)=k_j$ for each $j=0,\ldots,d-1$. Evidently, the cardinality of $\mathcal{V}_{N,\mathbf{k}}$ is

$$d_{\mathbf{k}} := \frac{N!}{k_0! \cdots k_{r-1}!} ,$$

and the valency $v(\alpha)$ of the vertex α :

$$v(\alpha) = \sum_{m < n} k_m(\alpha) k_n(\alpha) .$$

Definition

For
$$\mathbf{k} = (k_0, \dots, k_{d-1}) \in (\mathbb{Z}_{\geq 0})^d$$
 with $\sum_{m=0}^{d-1} k_m = N$,

$$\kappa_{\min} := \min\{k_0, \dots, k_{d-1}\}$$

and

$$r(\mathbf{k}) := \frac{\kappa_{\min}}{N}$$

It follows directly from the definition that for all $\alpha \in \mathcal{V}_{N,\mathbf{k}}$,

$$v(\alpha) \geq r^2(\mathbf{k})N^2$$
.

We write $\mathcal{H}_{N,\mathbf{k}}$ to denote the corresponding eigenspaces of H_N , and we write $P_{N,\mathbf{k}}$ to denote the orthogonal projection onto $\mathcal{H}_{N,\mathbf{k}}$ in \mathcal{H}_N .

The Lindblad equations

For each pair of multindices α and β , define

$$F_{\alpha\beta} := |\Psi_{\alpha}\rangle\langle\Psi_{\beta}| \qquad {
m and} \qquad L_{\alpha\beta} = F_{\alpha\beta} - F_{\alpha\beta}^* = F_{\alpha\beta} - F_{\beta\alpha} \ .$$

$$\mathcal{L}(X) = \frac{1}{N-1} \sum_{[\alpha,\beta] \in \mathcal{E}_N} [L_{\alpha\beta}, [L_{\alpha\beta}, X]] = -\frac{1}{N-1} \sum_{[\alpha,\beta] \in \mathcal{E}_N} [L_{\alpha\beta}^*, [L_{\alpha\beta}, X]] .$$

and note that $\mathcal{L} = \mathcal{L}^{\dagger}$.

Since for each α, β with $[\alpha, \beta] \in \mathcal{E}_N$, $P_{N,\mathbf{k}}L_{\alpha\beta} = L_{\alpha\beta}P_{N,\mathbf{k}}$, it follows that for all X

$$P_{N,\mathbf{k}}\mathcal{L}(X)P_{N,\mathbf{k}} = \mathcal{L}(P_{N,\mathbf{k}}XP_{N,\mathbf{k}})$$
.

Define

$$\mathcal{B}_{\mathbf{k}} := \{ X \in \mathcal{B}(\mathcal{H}_N) : X = P_{N,\mathbf{k}} X P_{N,\mathbf{k}} \},$$

which is a sub-algebra of $\mathcal{B}(\mathcal{H}_N)$. Each $\mathcal{B}_{\mathbf{k}}$ is invariant under \mathcal{L} and the corresponding Quantum Markov semigroup $\mathcal{P}_t = e^{t\mathcal{L}}$.

Note that for $\alpha \neq \beta$, $L_{\alpha\beta}^2 = -F_{\alpha,\alpha} - F_{\beta,\beta}$. Since

$$[L_{\alpha\beta},[L_{\alpha\beta},X]] = L_{\alpha\beta}^2 X + X L_{\alpha\beta}^2 - 2L_{\alpha\beta} X L_{\alpha\beta} ,$$

For $X \in \mathcal{B}_{\mathbf{k}}$,

$$\mathcal{L}(X) = \frac{2}{N-1} \sum_{[\alpha,\beta] \in \mathcal{E}_N} L_{\alpha\beta}^* X L_{\alpha\beta} - 2 \frac{\nu(\mathbf{k})}{N-1} X .$$

Defining $\Phi_{\mathbf{k}}(X) := \frac{1}{2\nu(\mathbf{k})} \sum_{[\alpha\beta] \in \mathcal{E}_{N,\mathbf{k}}} L_{\alpha\beta}^* X L_{\alpha\beta}$ so that $\Phi_{\mathbf{k}}(I) = I$,

$$\left. e^{t\mathcal{L}} \right|_{\mathcal{B}_{\mathbf{k}}} = e^{-2tv(\mathbf{k}/(N-1))} \sum_{\ell=0}^{\infty} \frac{(t2v(\mathbf{k})/(N-1))^{\ell}}{\ell!} \Phi_{\mathbf{k}}^{\ell}$$

Eric Carlen (Rutgers)

For any α and any pair permutation $\pi_{i,j}$ of $\{1,\ldots,N\}$, either $[\alpha,\pi_{i,j}(\alpha)]$ is an edge or else $\alpha=\pi_{i,j}(\alpha)$, and each β with $[\alpha,\beta]\in\mathcal{E}_N$ satisfies $\beta=\pi_{i,j}(\alpha)$ for exactly one pair i< j. Therefore

$$\sum_{[\alpha\beta] \in \mathcal{E}_{N,k}} L_{\alpha\beta}^* X L_{\alpha\beta} = \sum_{i < j} \sum_{\alpha \in \mathcal{V}_{N,k}} L_{\alpha,\pi_{i,j}(\alpha)}^* X L_{\alpha,\pi_{i,j}(\alpha)} .$$

Hence if we define

$$\Phi_{\mathbf{k},(i,j)}(X) := \binom{N}{2} \frac{1}{2\nu(\mathbf{k})} \sum_{\alpha \in \mathcal{V}_{N,\mathbf{k}}} L_{\alpha,\pi_{i,j}(\alpha)}^* X L_{\alpha,\pi_{i,j}(\alpha)} ,$$

$$\Phi_{\mathbf{k}} = \binom{N}{2}^{-1} \sum_{i < i} \Phi_{\mathbf{k},(i,j)}$$

20/36

Eric Carlen (Rutgers) Entropy Dissipation June 13, 2022

The spectrum of $\mathcal L$

Lemma

For $\alpha \neq \beta$ and any γ, δ ,

$$[L_{\alpha\beta}, [L_{\alpha\beta}, F_{\gamma\delta}]] = 2\delta_{\beta\gamma}\delta_{\beta\delta}F_{\alpha\alpha} + 2\delta_{\alpha\gamma}\delta_{\alpha\delta}F_{\beta\beta} - 2\delta_{\beta\gamma}\delta_{\alpha\delta}F_{\alpha\beta} - 2\delta_{\alpha\gamma}\delta_{\beta\delta}F_{\beta\alpha} - (\delta_{\beta\gamma} + \delta_{\alpha\gamma} + \delta_{\beta\delta} + \delta_{\alpha\delta})F_{\gamma\delta} .$$

The next theorem provides a basis of eigenvectors of \mathcal{L} partly in terms of $\Delta_{\mathcal{G}(N)}$, the classical graph Laplacian associated to $\mathcal{G}(N)$. Recall that

$$\Delta_{\mathcal{G}(N)}f(\gamma) = \left(\sum_{\{\delta \ : \ [\delta,\gamma] \in \mathcal{E}(N)\}} f(\delta)\right) - \nu(\gamma)f(\gamma) \ .$$

Theorem

For $\gamma \neq \delta$, the operators $S_{\gamma\delta} := F_{\gamma\delta} + F_{\delta\gamma}$ and $L_{\gamma\delta}$ are eigenvectors of $-(N-1)\mathcal{L}$, each with one of three eigenvalues

$$v(\gamma) + v(\delta) - 2$$
, $v(\gamma) + v(\delta)$ or $v(\gamma) + v(\delta) + 2$.

Finally,

$$(N-1)\mathcal{L}(F_{\gamma\gamma}) = 2\sum_{\delta\in\mathcal{G}_N} [\Delta_{\mathcal{G}_N}]_{\gamma\delta} F_{\delta\delta}$$

where $\Delta_{\mathcal{G}(N)}$ is the graph Laplacian associated with $\mathcal{G}(N)$. If \vec{u} is an eigenvector of $\Delta_{\mathcal{G}(N)}$ with eigenvalue Λ , then $X_u := \sum_{\delta \in \mathcal{G}(N)} u_\delta F_{\delta \delta}$ then $\mathcal{L}(X_u) = \Lambda X_u$.

Eric Carlen (Rutgers)

Theorem

Let **k** be such that $k_m > 0$ for at least two values of m. Then, for all such **k**, every eigenvalue λ of $-\Delta_{\mathcal{G}_{N,\mathbf{k}}}$ satisfies

$$N \le \lambda \le N(N-1)$$
 . (1)

The lower bound is sharp, in that N is the spectral gap for $\Delta_{\mathcal{G}_{N,k}}$ for all such \mathbf{k} . The upper bound is also sharp, in that for $\mathbf{k}=(1,1,\dots 1)$, so that $\Delta_{\mathcal{G}_{N,k}}$ generates the random transposition walk on the symmetric group on N letters, N(N-1) is the largest eigenvalue of $-\Delta_{\mathcal{G}_{N,k}}$

Thus whenever $r(\mathbf{k}) \ge r > 0$, so that $v(\mathbf{k}) \ge {d \choose 2} r^2 N^2$,

$$N \ge \frac{4}{d(d-1)r^2} \Rightarrow 2(\nu(\mathbf{k})-1)/(N-1) > 2N/(N-1)$$
,

and the spectral gap of $\mathcal L$ coincides with that of $\frac{2}{N-1}\Delta_{\mathcal G_{N,k}}.$

4 D > 4 D > 4 E > 4 E > E 900

23 / 36

Eric Carlen (Rutgers) Entropy Dissipation June 13, 2022

Theorem

Let **k** be such that $r(\mathbf{k}) \ge r > 0$. Then for all $N > 4/(d(d-1)r^2)$, the spectral gap of $\mathcal L$ on $\mathcal B_{\mathbf{k}}$ is 2N/(N-1).

The invariant subspace $\mathcal{B}_{\mathbf{k}}$ to which ρ belongs splits into two further invariant subspaces, one "quantum" and the other "classical":

$$\mathcal{B}_{\textbf{k}} = \mathcal{Q}_{\textbf{k}} \oplus \mathcal{C}_{\textbf{k}}$$

where

$$Q_{\mathbf{k}} = \operatorname{span}(\{F_{\alpha,\beta} : \alpha, \beta \in \mathcal{V}_{N,\mathbf{k}}, \alpha \neq \beta \})$$

and

$$C_{\mathbf{k}} = \operatorname{span}(\{F_{\alpha,\alpha} : \alpha \in \mathcal{V}_{N,\mathbf{k}}\}).$$

When $r(\mathbf{k}) \geq r > 0$, for large N, the least eigenvalue of $-\mathcal{L}\big|_{\mathcal{Q}_{\mathbf{k}}}$ is of the same order $-\mathcal{O}(N)$ – as the largest eigenvalue of $-\mathcal{L}\big|_{\mathcal{C}_{\mathbf{k}}}$, while the spectral gap is 2N(N-1). The "quantum" degrees of freedom get washed out very quickly, leaving one with a classical Markov process, as we now explain.

For each **k**, define

$$\sigma_{\mathbf{k}} := \frac{1}{d_{\mathbf{k}}} P_{N,\mathbf{k}} . \tag{2}$$

The density matrix $\sigma_{\mathbf{k}}$ spans the null space of \mathcal{L} , and all of non-zero eigenvalues of \mathcal{L} are negative. Therefore, if ρ is any density matrix on \mathcal{H}_N such that $\rho \in \mathcal{B}_{\mathbf{k}}$,

$$\lim_{t \to \infty} \mathcal{P}_t \rho = \sigma_{\mathbf{k}} \ . \tag{3}$$

We are interested in measuring the rate of convergence of $\mathcal{P}_t \rho$ to $\sigma_{\mathbf{k}}$. We have from the spectral gap computation that when $r(\mathbf{k}) \geq r > 0$ and N is sufficiently large,

$$Tr[(\mathcal{P}_t \rho - \sigma_{\mathbf{k}})^2] \le e^{-4t} Tr[(\rho - \sigma_{\mathbf{k}})^2]. \tag{4}$$

This may be sharpened.

◆ロト ◆個ト ◆ 恵ト ◆ 恵 ・ 夕へで

Since $\sigma_{\mathbf{k}} \in \mathcal{C}_{\mathbf{k}}$,

$$\begin{aligned} \operatorname{Tr}[(\mathcal{P}_t \rho - \sigma_{\mathbf{k}})^2] &= \operatorname{Tr}[(\mathcal{P}_t(\rho_{\mathcal{Q}}))^2] + \operatorname{Tr}[(\mathcal{P}_t(\rho_{\mathcal{C}}) - \sigma_{\mathbf{k}})^2] \\ &\leq e^{-t2(v(\mathbf{k}) - 1)/(N - 1)} \operatorname{Tr}[\rho_{\mathcal{Q}}^2] + \operatorname{Tr}[(\mathcal{P}_t(\rho_{\mathcal{C}}) - \sigma_{\mathbf{k}})^2] \ . \end{aligned}$$

For **k** such that $v(\mathbf{k}) \ge r > 0$, the "quantum" component of ρ , $\rho_{\mathcal{Q}}$ gets washed out very quickly and after a short initial layer, one has

$$\mathcal{P}_{t}\rho \approx \mathcal{P}_{t}\rho_{\mathcal{C}}$$

to an extremely accurate degree of approximation for large N.

Eric Carlen (Rutgers) Entropy Dissipation

26 / 36

The classical Markov semigroup

 $\mathcal{C}_{\mathbf{k}}$ is a commutative algebra. We identify a function f on $\mathcal{V}_{N,\mathbf{k}}$ with the operator X_f given by

$$X_f := \sum_{\alpha \in \mathcal{V}_{N,\mathbf{k}}} f(\alpha) F_{\alpha,\alpha} ,$$

the map is bijective.

Moreover

$$e^{t\mathcal{L}}X_f = X_{f(t)}$$
 where $f(t) := e^{t\frac{2}{N-1}\Delta_{\mathcal{G}_{N,k}}}f$. (5)

That is, every question about $e^{t\mathcal{L}}\big|_{\mathcal{C}_{\mathbf{k}}}$ reduces to a question about the classical Markov semigroup $e^{t\frac{2}{N-1}\Delta_{\mathcal{G}_{N,\mathbf{k}}}f}$. Because of the relatively rapid decay of $e^{t\mathcal{L}}\big|_{\mathcal{Q}_{\mathbf{k}}}$, one might hope this is also true to some extent for $e^{t\mathcal{L}}\big|_{\mathcal{B}_{\mathbf{k}}}$. This is the case.

Hypercontractivity for $e^{t\mathcal{L}}$

The following norms will be useful: For $1 \le p < \infty$ and $X \in \mathcal{B}_{N,\mathbf{k}}$ we define

$$\|X\|_{
ho,\mathbf{k}}:=\left(\operatorname{\mathsf{Tr}}[\sigma_{\mathbf{k}}(X^*X)^{
ho/2}]
ight)^{1/
ho}=\left(rac{1}{d_{\mathbf{k}}}
ight)^{1/
ho}\|X\|_{
ho}$$

where $\|X\|_p$ denotes the usual Schatten p-norm of X. For all p>2, and all $X\in\mathcal{B}_{\mathbf{k}}$,

$$||X||_{p,\mathbf{k}} = \left(\frac{1}{d_{\mathbf{k}}}\right)^{1/p} ||X||_{p} \le \left(\frac{1}{d_{\mathbf{k}}}\right)^{1/p} ||X||_{2} = d_{\mathbf{k}}^{1/2 - 1/p} ||X||_{2,\mathbf{k}}.$$

For all $p \ge 1$,

$$||X_f||_{p,\mathbf{k}} = ||f||_p$$

where the L^p norm on the right is computed with respect of the normalized probability measure on $\mathcal{V}_{N,\mathbf{k}}$.

- 4 ロ ト 4 週 ト 4 夏 ト 4 夏 ト 9 Q Q

Therefore,

$$\|\mathcal{P}_t X_f\|_{p,\mathbf{k}} = \|e^{t(2/(N-1))\Delta_{\mathcal{G}_{N,\mathbf{k}}}} f\|_p$$
.

Salez has proved that the semigroup $e^{t(2/(N-1))\Delta_{\mathcal{G}_{N,k}}}$ is hypercontractive with a log-Sobolev constant that is proportional to $r(\mathbf{k})$. In particular, there is a time $T_{\mathbf{k}}$ bounded by a universal constant times $\frac{1}{r(\mathbf{k})}$ such that

$$\|\exp(T_{\mathbf{k}}2\Delta_{\mathcal{G}_{N,\mathbf{k}}})f\|_4 \leq \|f\|_2$$
.

It follows that for all $X \in \mathcal{C}_{\mathbf{k}} \parallel \mathcal{P}_{\mathcal{T}_{\mathbf{k}}} X \parallel_{4,\mathbf{k}} \leq \parallel X \parallel_{2,\mathbf{k}}$. This extends to the whole system:

Theorem

Let **k** be such that $r(\mathbf{k}) \ge r > 0$. Then there is a time $T'_{\mathbf{k}}$ bounded by a universal constant times 1/r such that for all $Z \in \mathcal{B}_{\mathbf{k}}$,

$$\|\mathcal{P}_{T_{\mathbf{k}}'}Z\|_{4,\mathbf{k}} \leq \|Z\|_{2,\mathbf{k}}$$
.

First, we take care of the quantum sector:

Lemma

Let **k** be such that $\kappa_{\min} \geq rN$ where r > 0. Then for all $N \geq 2/r$, and all

$$t \ge \frac{(\log d + r \log 3)}{4r^2} \,, \tag{6}$$

and all $X \in \mathcal{Q}_{\mathbf{k}}$,

$$\|\mathcal{P}_t X\|_{4,\mathbf{k}} \leq \|X\|_{2,\mathbf{k}}$$
.

Write $X = X_1 + X_2 + X_3$ where the X_j are the components of X in the the three eigenspaces of \mathcal{L} on $\mathcal{Q}_{\mathbf{k}}$.

For large N,

$$\|\mathcal{P}_t X\|_{4,\mathbf{k}} \le e^{-tr^2N} (\|X_1\|_{4,\mathbf{k}} + \|X_2\|_{4,\mathbf{k}} + \|X_3\|_{4,\mathbf{k}}).$$

Then since $||X||_{4,k} \le d_k^{1/4} ||X||_{2,k}$ and $d_k \le d^N$,

$$\begin{split} \|\mathcal{P}_{t}X\|_{4,\mathbf{k}} & \leq e^{-tr^{2}N}d_{\mathbf{k}}^{1/4} \left(\|X_{1}\|_{2,\mathbf{k}} + \|X_{2}\|_{2,\mathbf{k}} + \|X_{3}\|_{2,\mathbf{k}}\right) \\ & \leq e^{-tr^{2}N + N\log d/4} \left(\|X_{1}\|_{2,\mathbf{k}} + \|X_{2}\|_{2,\mathbf{k}} + \|X_{3}\|_{2,\mathbf{k}}\right) \\ & \leq \sqrt{3}e^{-tr^{2}N + N\log d/4} \|X\|_{2,\mathbf{k}} \ . \end{split}$$

31 / 36

Eric Carlen (Rutgers) Entropy Dissipation June 13, 2022

For $Z \in \mathcal{B}_{\mathbf{k}}$, write Z = X + Y, $X \in \mathcal{C}_{\mathbf{k}}$ and $Y \in \mathcal{Q}_{\mathbf{k}}$ Then for $t \geq S_{\mathbf{k}}$, where

$$\begin{split} S_{\mathbf{k}} &:= \max \{ T_{\mathbf{k}} \;,\; (\log d/4 + \log(3/2)/r^2 \;\} \;, \\ \| \mathcal{P}_t Z \|_{4,\mathbf{k}} &\leq \| X \|_{4,\mathbf{k}} + \| Y \|_{4,\mathbf{k}} \leq \sqrt{2} \| Z \|_{2,\mathbf{k}} \;. \end{split}$$

Lemma (Glimm's Lemma)

Let $P_t = e^{tL}$ be an ergodic Quantum Markov Semigroup with $P_t = P_t^{\dagger}$ for each t. Suppose that for some $0 < T, C < \infty$

$$||P_TX||_4 \le C||X||_2$$

for all X. Suppose also that L has a spectral gap $\lambda>0$ so that for all Y with ${\rm Tr}[Y]=0$, $\|P_sY\|_2\leq e^{-\lambda s}\|Y\|_2$. Then for all X,

$$t \geq \frac{\log(\sqrt{2}C)}{\lambda} \to \|P_{T+t}X\|_4 \leq \|X\|_2.$$

- 4 ロ ト 4 週 ト 4 夏 ト 4 夏 ト - 夏 - 幻 Q で

Eric Carlen (Rutgers) Entropy Dissipation June 13, 2022 32 / 36

The proof in the quantum case uses:

Lemma (Carlen and Lieb)

Let $\Phi: M_m(\mathbb{C}) \to M_n(\mathbb{C})$ be a completely positive trace preserving map. Then for all X and all $1 \le p < \infty$,

$$\|\Phi(X)\|_{p} \le \|\Phi(|X|)\|_{p}^{1/2} \|\Phi(|X^{*}|)\|_{p}^{1/2}$$

Let X>0 and define $\langle X\rangle:={\sf Tr}[X]$, and $Y:=X-\langle X\rangle.$ Then

$$\begin{aligned} (P_{t+T}X)^4 &= (P_{t+T}Y + \langle X \rangle)^4 \\ &= (P_T P_t Y)^4 + 4(P_T P_t Y)^3 \langle X \rangle \\ &+ 6(P_{t+T}Y)^2 \langle X \rangle^2 + 4(P_{t+T}Y) \langle X \rangle^3 + \langle X \rangle^4 \ . \end{aligned}$$

$$\|X\|_2^4 = (\|Y\|_2^2 + \langle X \rangle^2)^2 = \|Y\|_2^4 + 2\|Y\|_2^2 \langle X \rangle^2 + \langle X \rangle^4 \ .$$

◆ロト ◆個ト ◆差ト ◆差ト 差 めなぐ

Eric Carlen (Rutgers) Entropy Dissipation June 13, 2022 33/36

We now follow another classical argument of Glimm and apply apply the Stein-Riesz-Thorin Interpolation Theorem.

$$||e^{TL}||_{2\to 4} = 1$$

 $||I||_{2\to 2} = 1$.

Then for $2 , with <math>\theta(p)$ defined by

$$\frac{1}{p} = (1 - \theta)\frac{1}{2} + \theta\frac{1}{4}$$
,

so that $\theta(p) = (2p-4)/p$, we have for all Z

$$||P_{\theta(p)T}Z||_p \leq ||Z||_2$$
.

Eric Carlen (Rutgers)

Differentiating in p at p = 2 yields our LSI:

Theorem

For all **k** such that $r(\mathbf{k}) \ge r > 0$, and all $Z \in \mathcal{B}_{\mathbf{k}}$, with $\text{Tr}[\sigma_{\mathbf{k}}|Z|^2] = 1$,

$$\operatorname{Tr}[\sigma_{\mathbf{k}}|Z|^2 \log |Z|^2] \le 4C_{\mathbf{k}}\mathcal{D}_N(Z,Z)$$
,

where the Dirichlet form \mathcal{D}_N is defined by

$$\mathcal{D}_{\textit{N}}(\textit{Z},\textit{Z}) := -\operatorname{Tr} \sigma_{\textbf{k}}[\textit{Z}^{*}\mathcal{L}\textit{Z}] = \frac{1}{\textit{N}-1} \sum_{[\alpha,\beta] \in \mathcal{E}_{\textit{N}}} \operatorname{Tr} \sigma_{\textbf{k}}[|\textit{L}_{\alpha,\beta}\textit{Z}|^{2}] \;,$$

and where

$$C_{\mathbf{k}} = \max\{T_{\mathbf{k}}, (\log d/4 + (\log 3/2)/r^2)\} + (\log 2)/2.$$

Note that the constant C_k depends on k only through r(k) and not on N.

40.40.45.45. 5 000

Thank you for your Interest!